

SCHEDULE OF FEES

Money Concepts Capital Corp. has a "Fully Disclosed Clearing Agreement" with Pershing LLC.
Pershing LLC has no agency, supervisory or control relationship with Money Concepts Capital Corp.
Any changes in this schedule will take effect after 30 days written notice.

TRANSACTION OR SERVICE	FEE
Accommodation Transfer - Corp, Muni, Gov't. Bonds & UIT Transfers	\$60 per transfer
ACH Rejects (Reclaimed/Cancelled)	\$25 per event
ADR Arbitrage Processing Fee & FX Away from Pershing	\$50 per order or per event
Agent Servicing Fee	Pass Through – Disclosed in Prospectus
Alternative Investment Eligibility Review/Setup Fee	\$300 yearly per position/review
Alternative Investment IRS Form 990-T UBTI Tax Return Filing	\$200 per return
Alternative Investment Service Charge Registered/Unregistered	\$35 yearly per position/ \$125 yearly per position
Alternative Investment Transaction Fee	\$50 per position
Annual Maintenance (SARSEP/ Prototype-SEP, Simple IRA, QRP accounts & 403(b)(7)	\$58.50 in advance
Annual Maintenance Individual(k), Simplified 401(k), PS & MPP	\$75.00
Flex 401(k), PS & MPP	\$125.00
Annual Maintenance (Traditional IRA, Roth IRA, Education Savings & SEP)	\$43.50 in arrears
Annual Maintenance Mutual Fund Only Retirement Accounts	\$12.00 in arrears
Annuity No Load Purchase (Net Exchange Subscribe System)	\$17-VA, \$10-Fixed, \$5-subsequent, \$25-1035 Exchange
Bond Redemption Notification- assed when bonds mature or are called	\$5 per event
Bookkeeping	\$4.50 per trade
Cashless Exercise of Employee Stock Options + Mandatory Overnight Fee	\$50 per exercise + \$12 overnight fee (\$62 Total)
Check Return / Disbursement Errors / Void / Check Insufficient /funds (Incoming)	\$20 per check (Client bank will have fee also)
Check Stop Payment / Payment Stop Error	\$10 per request
Close Account – Retirement Accounts Outgoing Termination fee	\$95 per account
Conversion From Traditional IRA or Roth IRA To a Mutual Fund Only Traditional or Roth IRA	\$50 per conversion
Direct Registration System (DRS) - outgoing transfers/incoming transfers	\$10 per transfer/pass through from transfer agent
Direct Registration System (DRS) - outgoing transfers/incoming transfers- American Stock Transfer	\$25 per transfer/pass through from transfer agent
DTC Deposit	\$3.00 per event
DTC Withdrawal	\$5.00 per event
DWAK Processing Fee	\$5.00 per event
Federal Funds Bank Wire/ Outgoing non used wires	\$20 per wire
Foreign Settlement- Euro Clear/All Other Non-US Markets	\$25/\$75
Good Till Cancel (GTC) Notification Fee – Expired & Open print	\$1 per event
Inactivity Fee for Non-Retirement Accounts- Stocks & MF/ Mutual Fund Only	\$12.50 yearly/ \$10 yearly
Legal / GNMA & Restricted Legal Transfers	\$135 per transfer
Loan Distributions & Repayment Posting (QRP & 403(b)(7)/ Loan Processing	\$50 due with loan document
Margin and COD Extension	\$10 per event
Mutual Fund 6 month early redemption (Fund Vest)	\$50 per redemption
Mutual Fund Purchase @ NAV (No Load and Waived Load)/ Mutual Fund Redemptions	\$5 per purchase, per redemption
Mutual Fund Surcharge fees may apply. Please contact your financial advisor for listing.	\$10 per trade surcharge (in addition to normal ticket charges)
Physical Private Placement Settlement Fee	\$500 per event
Option Exercise and Assignment	\$8.00 per notification
Option Regulatory Fee (Buy and Sell)	Pass through per contract
Outgoing Non-Retirement Account Transfers	\$65 per transfer
Overnight Check Foreign Delivery	\$35 per check
Overnight Check from NJ / from LA	\$20 per check
Overnight Check Saturday Delivery	\$25 per check
Paper Subscription Fee (For Paper Based Mailings of Statements, Trade Confirmations, Notifications or Quarterly Performance Reports)	\$3 per month
Precious Metal Storage Fee	yearly – 75 bps of market value
Register & Ship Physical Certificate to Investor	\$60 per transfer
Safekeeping -Name & Foreign Securities/ Domestic (non-US Additional Fees May Apply)	\$10 monthly per account / per position
Tax Documents Paper Subscription Fee	\$10 yearly
Voluntary Reorg -Tender Offers, Stock & Bond Conversions, Elections, Exchanges, Exercised	\$15 per action, per event
CHECKING DEBIT CARD SERVICES	
Corestone Silver (Waived if account cash sweep balances exceeds \$25,000)	\$25 yearly
Corestone Silver Plus	\$50 yearly
Corestone Gold Account	\$100 yearly, Corporate \$150 yearly
Corestone Platinum	\$150 yearly, Corporate: \$250 yearly
Personal Initial Check Order (Includes checkbook cover, check register, deposit tickets and 40 checks)	Complimentary
Personal Reorder Check (Includes check register, deposit tickets and 100 checks)	\$12.50 (Silver & Silver Plus) \$10 (Gold) \$7.50 (Platinum)
Carbon Copy Checks (\$15 Includes checkbook cover, check register and 100 checks)	\$15 Initial, \$25 Reorders
Business Initial Check Order (Includes business binder, business register, deposit tickets and 252 checks)	\$50 Initial, \$40 Reorders, \$20 Reorder Business Binder
Checking and Visa Fees: Stop payments (on check) – Return checks or ACH debits (for any reason)	\$25 per request (Stop Payment: No charge for Platinum)
Copy of paid check or Visa draft (ATM access: None-Individual banks may assess ATM usage fees)	\$2.50 per check
Corestone Overnight Mailing to Customer	\$20 per event

**COMMISSION SCHEDULE FOR BROKERAGE ACCOUNTS
(5MC, MC5 & 5UW)**

EQUITIES GENERAL RATE	
Dollar Range Per Trade	Commission Rate
\$0 - \$2,500	\$26 + 1.6% of principal
\$2,501 - \$6,000	\$51 + .6% of principal
\$6,001 - \$22,000	\$69 + .3% of principal
\$22,001 - \$50,000	\$91 + .2% of principal
\$50,001 - \$500,000	\$141 + .1% of principal
\$500,000 +	\$241 + .08% of principal

*Fractional Share Trading: +\$1 per order when orders are less than one (1) whole share and a notional value less than \$100

EQUITIES FULL SERVICE RATE	
Dollar Range Per Trade	Commission Rate
\$0 - \$2,500	\$26 + 1.6% of principal
\$2,501 - \$6,000	\$51 + 1.4% of principal
\$6,001 - \$22,000	\$69 + 1.2% of principal
\$22,001 - \$50,000	\$91 + 1.0% of principal
\$50,001 - \$500,000	\$141 + .8% of principal
\$500,000 +	\$241 + .3% of principal

*Fractional Share Trading: +\$1 per order when orders are less than one (1) whole share and a notional value less than \$100

OPTION SCHEDULE – PREMIUMS OF \$0.50 OR LESS	
Contract	Commission
0 – 49	\$1.80 per contract + 1.5% of principal
50 – 149	\$1.10 per contract + 1.8% of principal
150 – 499	\$0.75 per contract + 2% of principal
500- 1490	\$0.80 per contract + 2% of principal
1500 +	\$0.60 per contract + 1.5% of principal

OPTION SCHEDULE – PREMIUMS GREATER THAN \$0.50	
Contract	Commission
\$ 0 - \$2,499	\$29 + 1.6% of principal
\$2,500 - \$9,999	\$49 + .8% of principal
\$10,000 +	\$90 + .8% of principal

FIXED SECURITIES		
Corporate	Zero Coupon	General Obligation
Treasury	Notes	Bills
Agency	Preferred Stock	
Maximum up to 5% of Principal		

PRECIOUS METALS	
Aggregate Amount of Transaction	Commission
\$0 - \$25,000	5.00% (Subject to \$50 minimum)
\$25,001 - \$50,000	4.00%
\$50,001 - \$100,000	2.50%
\$100,001 +	1.00%

MUNICIPAL SECURITIES
Subject to MSRB Rule G-30

ADVISORY TRANSACTION CHARGES

Execution Services	Applies to the following Advisory office ranges: 14B, JG1, JG2, JG4, JG5, NFX, P4Z, QH9; TB7	Applies to 14B With DIDA as Sweep MMF	Applies to 14C, 0BK, NB6, MC4, TMY
Listed OTC Equities, NYSE, AMEX, Regional Exchanges * Fractional Share Trading: +\$1 per order when orders are less than one (1) whole share and a notional value less than \$100	\$7.00 per ticket	\$2.00 per ticket	\$0.00
Warrants Exercised	\$20.00 per contract	\$20.00 per contract	Same
Options (Equity & Index)	\$7.00 per ticket	\$7.00 per ticket	\$0.00
Bonds: Listed/Corporate	\$12.00 per ticket	\$12.00 per ticket	Same
Bonds: OTC, Municipal, Treasury, Government	\$12.00 per ticket	\$12.00 per ticket	Same
Money Market Instruments (BA's CD's, CP)	\$24.00 per ticket	\$24.00 per ticket	Same
Precious Metals	\$25.00 per ticket	\$25.00 per ticket	Same
Mortgage Backed When Issued	\$50.00 per ticket	\$50.00 per ticket	Same
Unit Investment Trust	\$12.00 per ticket	\$12.00 per ticket	Same
Mutual Funds Purchases: Load & No Load Fund	\$4.00 per ticket	\$4.00 per ticket	\$20 per ticket
Fund Vest	No Charge (Must meet minimum)	No Charge (Must meet minimum)	Same
Fund Vest Penalty for Redemptions (Prior to 6 months)	\$50.00 fee if not held for 6 months	\$50.00 fee if not held for 6 months	Same
Option Exercise and Assignment	\$7.00 (Other Options)	\$7.00 (Other Options)	\$2.00